



Process instruction on Prohibited and Declarable Substances S132030-1

We pioneer motion

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Structure of S 132030-1

1. Standard with complete description

2. Appendix A

- **Declaration of Conformity**
- **List of legal requirements**
- **List of purchased products**
- **List of substances**

3. Appendix B

- **IMDS Guidline for Suppliers**

The last version (AI) of this standard is valid since 17.11.2020 and is now also available for suppliers on the Internet. Therefore, please use only the new version from now on.

This process instruction will focus on Annex A, because this is where most of the changes from the old 2014 version have been made, and experience has shown that this Annex is problematic.

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Within the framework of Quality Assurance Agreements (QAA), e.g.:

- **QAA S 296001 Quality Assurance Agreement with Production Material Suppliers**
- **QAA S 296002 Quality Assurance Agreement with Tooling Suppliers**
- **QAA S 296003 Quality Assurance Agreement with Packaging Material Suppliers**
- **QAA S 296004 Quality Assurance Agreement with Aerospace-Suppliers**

will be checked at Schaeffler according to S 132030-1 all

- **Purchased products,**
- **Chemicals,**
- **Mixtures,**
- **Products,**
- **Raw materials and**
- **Packaging materials**

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

if they contain

- **prohibited,**
- **declarable or**
- **restricted substances.**

Checking according to S 132030-1 shall ensure that

- **employees are not exposed to critical substances.**
- **Schaeffler products do not contain any critical substances (or, if necessary, these can then be declared to Schaeffler customers - e.g. lead in brass-cages) and Schaeffler thus meets worldwide legal requirements.**

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

1. Fill in data on the product: top left in the declaration of conformity

- If the Declaration of Conformity is completed for more than one product, please enter "**Table**" here for Product and complete the separate "**List of purchased products**" spreadsheet.

2. Fill in data on the supplier: top right in the declaration of conformity

- If the Schaeffler supplier number is not known, please contact Schaeffler Purchasing.
- Please do not overwrite the line **Schaeffler Status (will be filled in by Schaeffler)!**

3. Schaeffler status: directly below the product and supplier data in the declaration of conformity

- **Schaeffler Status will only be filled by Schaeffler.**

4. Select category: directly below the Schaeffler status in the Declaration of Conformity

- **A:** If the **product remains on or in Schaeffler sales products.**
- **B:** If the **product does not remain on or in Schaeffler sales products.**
- **C:** If the product is a packaging.

If the category is unclear, please contact the responsible Schaeffler purchasing department.

Please note: Graying out fields that do not need to be filled does not work completely.

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Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

5. Filling of the declaration of conformity: directly below the category selection

- **Point 1, Schaeffler generally prohibited substances and**
- **Point 2, Schaeffler restricted substances must be filled for all products.**
- **Point 3, REACH EU must be filled for all products, which are used in the EU.**
- **Point 4, GADSL (Global Automotive Declarable Substance List) must be filled for all products which remain on Schaeffler sales products in the automotive sector, but is also valid as a reference list for Schaeffler industrial products.**
- **Point 5, ELV (End of Life Vehicles) must be filled for all products which remain on Schaeffler sales products in the Automotive sector.**
- **Point 6, RoHS (Restriction of Certain Hazardous Substances) must be filled for all products which remain in electrical or electronic equipment in Schaeffler sales products.**
- **Point 7, Further specifications for chemicals and mixtures must be filled for all chemicals and mixtures (e. g. cleaners, cooling lubricants, anti-corrosion oils, greases...).**
- **Point 8, Further specifications for packaging must be filled for all products used for packaging at Schaeffler.**

This does not include the outer packaging of purchased parts, chemicals and mixtures (e. g. a barrel containing a grease or a canister containing a cleaner or a box with a plastic bag containing purchased parts). These outer packagings only have to be disposable in the respective countries. There are laws for this in the EU and US (see spreadsheet "List of legal requirements" - packaging).

- **Point 9, Are legal requirements of countries observed?** Here, the supplier must fill which laws his product complies with in the "List of legal requirements" spreadsheet. **This must be at least the laws in which his product is used or his product is sold in Schaeffler products!**
The more laws the product complies with, the more widespread and secure Schaeffler products can be sold!

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Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

6. Declaration in Schaeffler format – Alternative to IMDS and BOMcheck: directly below the declaration of conformity block

- If substances have to be declared, they must be entered at this point:
Substance name, CAS number, concentration and, if applicable, remarks (lead is given as an example).
This input is not required at this point if a declaration is made via IMDS or BOMcheck.

7. List of legal requirements: separate spreadsheet

- Here the supplier must enter which laws his product complies with.
- Column **A**: the law concerned e. g. RoHS, Column **B**: the corresponding country law, Column **C**: the country for which this law applies, Column **D**: Here must be filled whether this law is valid for the product (yes/no), **each line must be filled with yes or no.**
if yes, at least one of the following columns must be filled!
if no, no further columns need to be filled.

Note: **Packaging:** Outer packaging (e. g. barrel containing a grease or a canister containing a cleaner or a cardboard box with plastic bag containing purchased parts) must be disposable in the respective countries. Please fill “**yes**” if the corresponding country law for the used outer packaging is complied with! - there are currently laws for this in the EU and US.

If the product does not comply with any laws (i. e. no entries or everywhere “no”), the product will no longer be released!

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Short instruction for filling in the declaration of compliance, annex A of the substance prohibition standard S 132030-1

8. List of purchased products: separate spreadsheet

- This spreadsheet must be completed if the declaration of conformity applies to more than one product at the same time.
- Some entries from the declaration of conformity spreadsheet are automatically transferred to this spreadsheet; the missing data must be entered.

9. List of substances: separate spreadsheet

- Here are listed prohibited and declarable substances which must be complied with by the product.
- In addition, the requirements listed apply (e. g. REACH EU, GADSL, RoHS...).

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	3.3 Valuation by Schaeffler	
	3.4 Selection of category A, B, A and B or C	
	3.5 Completing the Conformity Declaration	
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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

We start with the overview of the Conformity Declaration.

- The process instruction passes through this Conformity Declaration step by step, because we had problems with this Declaration in the old version and the new version is even larger and maybe therefore also problematically for filling by the supplier!
- The supplier must fill out the declaration of conformity **completely and correctly!**
- At the right side of the Conformity Declaration we added an explanation (red colored), that helps the suppliers to fill the Conformity Declaration correctly.
- **We need the filled Conformity Declaration from the supplier in excel and pdf format!**
 - excel: Material Compliance must evaluate the Conformity Declaration and must document this evaluation (e. g. for audit).
 - pdf: to avoid changes of the original document of the supplier (e. g. with signature of the supplier with or without stamp and afterwards scanned).

Extract from S 132030-1, page 3, 4 Supplier information, list of purchased products:

Because of the large number of its suppliers, Schaeffler must rely on an automatic evaluation therefore the signed and scanned supplier information sheet should always be returned to Schaeffler together with the duly completed Excel document.

Complete Conformity Declaration, Appendix A,
spreadsheet „Declaration of Conformity“

Link:

<https://www.schaeffler.de/content.schaeffler.de/en/schaeffler-germany/purchasing-and-supplier-management/sustainability/index.jsp>

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Information about product	
Information for a single product (complete as far as possible). If this conformity declaration is valid for a few products, please fill the complete information in table "List of purchased products" (see enclosure 4). Fill in here: "table"	
Supplier	Name of the product
	Material number
Schaeffler	Name of the product
	Material number (13 digits)
	IMDS-ID-No. (if existing)

Usually filled in for a single product. If the information applies to several of the supplier's products, then enter "Table" under "Product designation" and fill in the "List of purchased products" (separate spreadsheet).

Description of the delivered product – (e. g. taken from the Material Safety Data Sheet (MSDS) for chemicals and mixtures)

Material number of the supplier

Schaeffler designation of the delivered product - could be different from the supplier

Schaeffler SAP number (13 digits: xxxxxxxxx-0000)

Applies to products contained in automotive parts (International Material Data System)

**Filled by
Schaeffler!**

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Information about supplier		
Supplier/Company:		
Schaeffler-ID-number		
Contact person:	Name:	
	email:	
	Phone-No.	
Conformity declaration drawn by	Name:	
	Date:	
Signature: (Stamp where applicable)		

e. g. information from the safety data sheet (MSDS)

Every supplier listed with Schaeffler has a Schaeffler ID number (from the purchasing department); if the supplier is actually not listed, he must fill in here none.

Contact person at the supplier

Email address of the contact person at the supplier

Telephone number of the contact person at the supplier

Name of the person at the supplier who made the Declaration of Conformity and **when (date)**

Signature of the person at the supplier who issued the Declaration of Conformity (and **company stamp**, if applicable)
For pdf-document: signature and afterwards scanning!

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new!

Schaeffler Status (assessed by Schaeffler)			
	Status (in assessment/released/not released)		Date

The department **Material Compliance** of Schaeffler assesses whether the declaration of conformity i. O. or not.

empty: determining;
released: Declaration of conformity i. O.;
not released: Declaration of Conformity

Name of the person from Material Compliance who performed the evaluation

Date when the evaluation was carried out.

**Please leave blank!
 Is only filled by Schaeffler!
 Do not overwrite e. g. with signature or stamp of the supplier!**

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Conformity Declaration

Material Compliance Information for purchased products by Schaeffler, which

<input type="checkbox"/>	Categorie A:	<ul style="list-style-type: none">• remain in or on Schaeffler sales products
<input type="checkbox"/>	Categorie B:	<ul style="list-style-type: none">• are used in production processes and maintenance, but do not remain in Schaeffler sales products
<input type="checkbox"/>	Categorie C:	<ul style="list-style-type: none">• serve as packaging material with which Schaeffler products are wrapped, reused, transported, stored and/or protected

↑
new!

If the category is not obviously clear, the information about the use at Schaeffler and for selecting the right category must be given by the requestor. If the supplier does not know the category, please ask the responsible purchasing department.

Depending on the category(ies) selected, **unaffected fields are automatically grayed out – works only partially!**

The supplier chooses **Category A** if his product remains on or in the Schaeffler sales product.

The supplier chooses **Category B** if his product is used in production processes and maintenance. However, the product used does not remain on or in the Schaeffler sales product!

The supplier chooses **Category C** if his product is used as packaging material.

There are products that must be selected for **Category A and B**, for example, when a corrosion protection oil is used for both intermediate and final preservation. Selection if necessary together with Schaeffler Contact (see above).

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

No.	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? yes/no	Is the purchased product compliant? yes/no	Declaration: IMDS, BOM-Check, Schaeffler-Format or no declarable substances
1	Schaeffler generally prohibited substances			
2	Schaeffler restricted substances			

Both requirements (No. 1 and No. 2) are valid for **all purchased products**

For No. 1 and No. 2 „**yes**“ must be chosen (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS,
BOM-Check,
Schaeffler-Format
or
No declarable substances
(dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

No.	Material Compliance (MC) Requirements	Is the require-ment valid for the purchased product? yes/no	Is the purchased product compliant? yes/no	Declaration: IMDS, BOM-Check, Schaeffler-Format or no declarable substances
3	<p>REACH VO (EG) Nr. 1907/2006 includes, among other things, reporting of SVHC, Annex XVII bans and authorisation obligations under Annex XIV, and obligations to register substances</p>			

Must be completed for all products used in the **EU**.

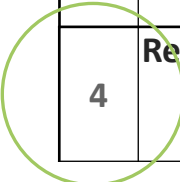
Choose „yes“ or „no“ (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS, BOM-Check, Schaeffler-Format or No declarable substances (dropdown)

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No.	Material Compliance (MC) Requirements	Is the require-ment valid for the purchased product? yes/no	Is the purchased product compliant? yes/no	Declaration: IMDS, BOM-Check, Schaeffler-Format or no declarable substances
4	Requirements of the GADSL (Global Automotive Declarable Substance List) - is considered a reference list even if products are not intended for the automotive industry			



Must be filled in for all products used in the **automotive industry**.
Is also a reference list for industrial products

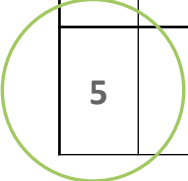
Choose „yes“ or „no“ (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS, BOM-Check, Schaeffler-Format or No declarable substances (dropdown)

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No.	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? yes/no	Is the purchased product compliant? yes/no	Declaration: IMDS, BOM-Check, Schaeffler-Format or no declarable substances
5	ELV RL 2000/53/EC on end-of-life vehicles - purchased products for automotive			



Must be filled in for all products used in the **automotive industry**.
ELV (End of Life Vehicles)
 Is also a reference list for industrial products

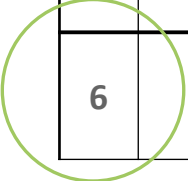
Choose „yes“ or „no“
 (dropdown)

Choose „yes“
 or „no“
 (dropdown)

IMDS,
 BOM-Check,
 Schaeffler-Format
 or
 No declarable substances
 (dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

<i>No.</i>	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? yes/no	Is the purchased product compliant? yes/no	Declaration: IMDS, BOM-Check, Schaeffler-Format or no declarable substances
6	RoHS RL 2011/65/EU - for NON-Automotive purchased products			



Must be filled in for all products that are **not used in the automotive industry.**



Choose „yes“ or „no“
(dropdown)



Choose „yes“
or „no“
(dropdown)



IMDS,
BOM-Check,
Schaeffler-Format
or
No declarable substances
(dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

No.	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? yes/no	Is the purchased product compliant? yes/no	Declaration: IMDS, BOM-Check, Schaeffler-Format or no declarable substances
7	Further specifications for chemicals and mixtures - for use in production, maintenance - not applicable to semi-finished parts & products in general			

Must be filled in for **production chemicals and products for maintenance and other chemicals**

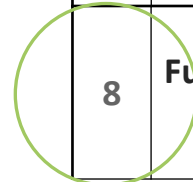
Choose „yes“ or „no“ (dropdown)

Choose „yes“ or „no“ (dropdown)

IMDS, BOM-Check, Schaeffler-Format or No declarable substances (dropdown)

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

No.	Material Compliance (MC) Requirements	Is the requirement valid for the purchased product? yes/no	Is the purchased product compliant? yes/no	Declaration: IMDS, BOM-Check, Schaeffler-Format or no declarable substances
8	Further specifications for packaging materials - except for packaging that is returned to the supplier			



Must be filled in for all **packaging materials**.
Exception is packaging that is returned to the supplier.



Choose „yes“ or „no“
(dropdown)



Choose „yes“
or „no“
(dropdown)



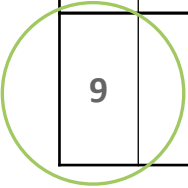
IMDS,
BOM-Check,
Schaeffler-Format
or
No declarable substances
or
Return to supplier
(dropdown)



Process instruction on Prohibited and Declarable Substances Standard S 132030-1

new!

No.	Material Compliance (MC) Requirements	Is the require-ment valid for the purchased product? yes/no	Is the purchased product compliant? yes/no	Declaration: IMDS, BOM-Check, Schaeffler-Format or no declarable substances
9	Is the scope of delivery in accordance with the listed laws? - see appendix "List of legal and official requirements" - please fill in accordingly			



Must be completed for all **products** in the attached table "List of legal and regulatory requirements".
 The list must be filled in **as far as possible to the best of our knowledge**.
 The more **global laws** are fulfilled, the better the product can be **used worldwide at Schaeffler** and **sold worldwide**.

Choose only „yes“
(dropdown)

Choose „yes“
or „no“
(dropdown)

See list with legal....
Requirements
 or
No information
 (dropdown)
If "no information" is indicated, the declaration of conformity is usually no longer accepted!

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<p>Declarable Substances</p> <p>- According to actual List of Substances (S 132030-1)</p>	<p>CAS Number other Identification number – only if CAS number is not available</p>	<p>Quantity of substance</p>	<p>Unit according to List of Substances</p>	<p>Remarks*1</p>
<p><i>Example: Lead (Blei)</i></p>	<p><i>7439-92-1</i></p>	<p><i>e. g. 2,0</i></p>	<p><i>% (w/w)</i></p>	<p><i>e. g. Brasscage</i></p>

Indication of the ingredient(s) to be declared.
In this example, lead, which is an ingredient in a brass cage.

Here in the example the CAS number of lead

Amount of the substance in the product.
In the example 2,0

Unit of quantity; usually % (w/w), but also ppm, mg/l, mol/l... is possible

Indication of the product in which the ingredient to be declared is contained.
Only a (construction) part of the entire product may be affected (brasscage of a bearing).

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Declarable Substances - According to actual List of Substances (S 132030-1)	CAS Number other Identification number – only if CAS number is not available	Quantity of substance	Unit according to List of Substances	Remarks*1
<i>Example:</i> <i>Lead (Blei)</i>	7439-92-1	<i>e. g. 2,0</i>	% (w/w)	<i>e. g. Brasscage</i>
Methanol	67-56-1	100	% (w/w)	Prohibited substance , only released as carburising substance for use in heat treatment

*1 = example for prohibited substances: Reason; affected material is present in the product; RoHS or ELV exception; for chemicals and mixtures; analytical method;

- Information is required here if no alternative such as IMDS or parts list declaration is available.
- Here is the possibility to document,
 - why a **prohibited or declarable substance is nevertheless released** and
 - for **which application** the release applies.
- Here supplier can specify the **analytical method** used to determine the substance and the amount of substance, especially **if there are various methods** of analysis for this substance.

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Example of Conformity
Declaration for a **Mixture**
– valid for EU and US

Link: Select Example of Conformity Declaration for a Mixture, spreadsheet „Declaration of Conformity“
<https://www.schaeffler.de/content.schaeffler.de/en/schaeffler-germany/purchasing-and-supplier-management/sustainability/index.jsp>

Example of Conformity
Declaration for a **Purchased Part** –
valid for EU and US

Link: Select Example of Conformity Declaration for a Purchased Part, spreadsheet „Declaration of Conformity“
<https://www.schaeffler.de/content.schaeffler.de/en/schaeffler-germany/purchasing-and-supplier-management/sustainability/index.jsp>



Please note that in the case of preserved (metal-)purchased parts, the preservation must also be included in the declaration of conformity!

Critical substances in the corrosion protection oil must be declared and avoided as far as possible (e. g. Ba)!

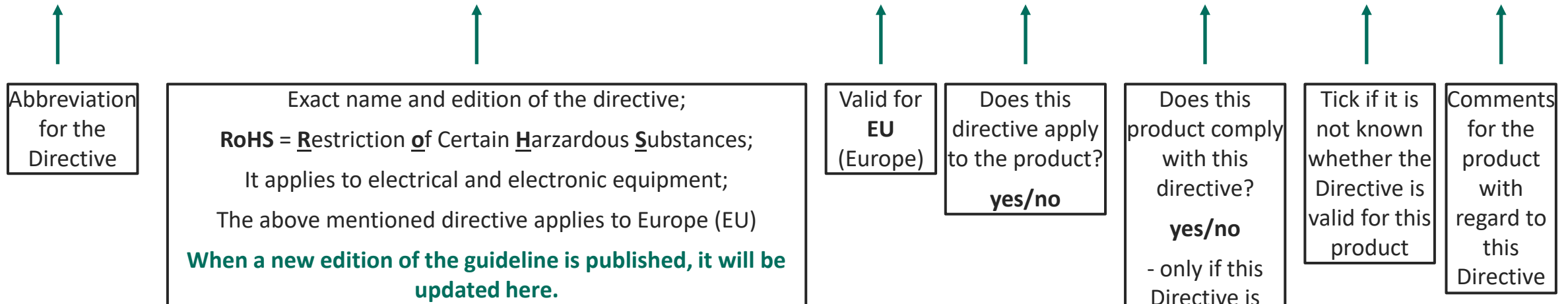
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new!

Issue	List of legal and official requirements (the listed regulations are accompanied by their amending regulations and annexes, at the time of comment); declarations of conformity apply to the main regulation, its amending regulations and annexes	Country	Validity (see drop-down menu)	Compliant (see drop-down menu)	Not known	Comment
RoHS	Directive 2011/65/EU of the European Parliament and of the Council of 8 June 2011 on the restriction of the use of certain hazardous substances in electrical and electronic equipment	EU		0	0	



The **0** in a field means that the entry is automatically taken over by the declaration of conformity.

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Issue	Comments for the product with regard to this Directive List of legal and official requirements (the listed regulations are accompanied by their amending regulations and annexes, at the time of comment; declarations of conformity apply to the main regulation, its amending regulations and annexes	Country
ROHS	Directive 2011/65/EU of the European Parliament and of the Council of 8 June 2011 on the restriction of the use of certain hazardous substances in electrical and electronic equipment	EU
	Act for Resource Recycling of Electrical and Electronic Equipment and Vehicles; Act No. 6319 - Resource Recycling	KR
	MIIT Order 32 together with GB/T 26572	CN
	e-waste (Management and Handling) Rules 2011 (India RoHS)	IN
	Electrical and electronic equipment that may contain hazardous substances: restriction of the use of certain hazardous substances; TIS 2368-2551; 26.05.2008.	AP
	Act on the Promotion of Effective Utilization of Resources; 26.04.1991	AP
	Circular 30/2011/TT-BCT	VN
	Atık Elektrikli ve Elektronik Eşyaların (AEEE) Kontrolü Yönetmeliği (Turkish WEEE and RoHS-style Directives); 22.05.2012.	TR
	Law for Promotion of Effective Utilization of Resources in Japan (Japanese Recycling Law) of 2001 and the mandatory industry standard JIS C 0950 of 2008	JP
	EAEU TR 037/2016	EAEU

Country specific guidelines on RoHS

Must be filled at least for the countries for which a release is requested.

The more laws the product complies with, the more widespread and secure Schaeffler products can be sold!

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Example of List of legal requirements for a **Mixture**
– valid for EU and US

Link: Select **Example of Conformity Declaration for a Mixture**, spreadsheet „List of legal requirements“
<https://www.schaeffler.de/content.schaeffler.de/en/schaeffler-germany/purchasing-and-supplier-management/sustainability/index.jsp>

Example of List of legal requirements for a **Purchased Part**
– valid for EU and US

Link: Select **Example of Conformity Declaration for a Purchased Part**, spreadsheet „List of legal requirements“
<https://www.schaeffler.de/content.schaeffler.de/en/schaeffler-germany/purchasing-and-supplier-management/sustainability/index.jsp>

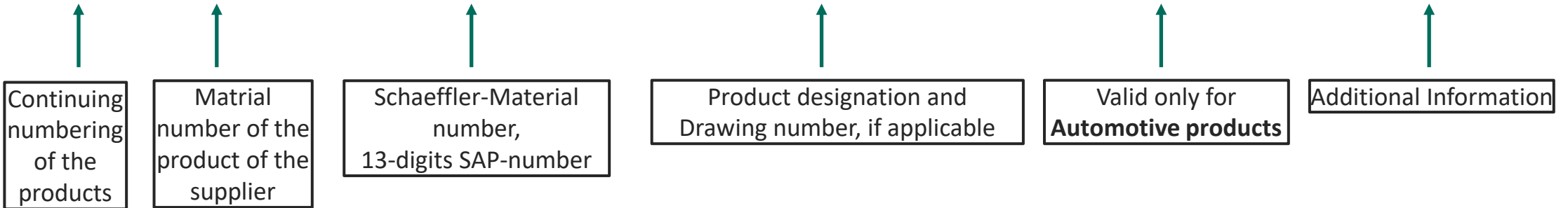
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List of purchased products (supplement to the declaration of conformity)					
Supplier/Company		0			
Konformitätserklärung erstellt:		Name:	0		S 132030-1 – actual version
		Datum:	0		
Seq. No.	Suppliers Material number	Schaeffler Material number (SAP 13-digits)	Material / Designation / Drawing number	IMDS ID-Number (if applicable)	Note / Contact person

The labelling of these 3 fields is automatically taken over from the declaration of conformity.



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List of Substances						
Seq. no.	Relevant substances	CAS number	Classification	Limit value	Remarks / legal background	Application example
1	<p>Schaeffler generally prohibited substances - applies to all products, chemicals, mixtures and also to packaging material -</p>					
	<p>Substances of the following hazard classes:</p> <ul style="list-style-type: none"> - Carcinogenicity, Carc. 1A, Carc. 1B (H350 et seqq.) - Germ cell mutagenicity, Mutag. 1A, Mutag. 1B (H241) - Reprod. toxicity, Repr. 1A, Repr. 1B (H360 et seqq.) 	-	P	b)	<p>CLP Regulation (EC) No. 1272/2008</p> <p>- see remark in footnote b) -</p>	

Generic term, directive or law for the substances listed under this item

Additional Information
No. 1 are Schaeffler basic prohibitions; further numbers follow

Prohibited or declarable substances
Here is a substance group without CAS numbers

No CAS number, because a whole substance group is affected

P = prohibited;
D=declarable

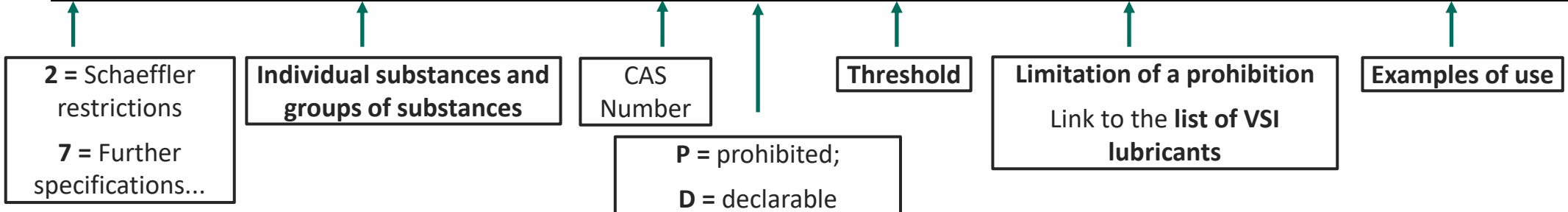
Threshold for the specified substance (group)
(b) see List of Substances - Footnotes

Directive or law applicable to this substance (group).
Here CLP Regulation (EC)

Additional information: e.g. examples of practical applications

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

List of Substances							SCHAEFFLER
Seq. No.	Relevant Ingredients	CAS-Number	Evaluation	Threshold	Note / legal background	Example application / Example	
2	Biocides	-	D	c)	ChemVerbotsV, Verordnung (EU) Nr. 528/2012 for MWF the VKIS-VSI-IGM-BGHM substance list has to be applied	e.g. anti-corrosion agents, coolants, paints, varnishes, wooden packaging	
7	Copper and its compounds	-	P	10 ppm	Prohibition only for metalworking fluids; in addition, the VKIS-VSI-IGM list is valid www.vsi-schmierstoffe.de		
7	Benzotriazole	95-14-7	D	a)	allergenic potential	e.g. cooling lubricants, anti-corrosion agents	



Process instruction on Prohibited and Declarable Substances Standard S 132030-1

List of Substances						SCHAEFFLER
Seq. No.	Relevant Ingredients	CAS-Number	Evaluation	Threshold	Note / legal background	Example application / Example

Footnotes:

- a) Limit value 0.1 % w/w (1000 ppm) in the homogeneous material, unless otherwise specified. Where the legal provision stipulates a more stringent value, that value applies!
- b) Note: These specifications of CLP Regulation (EC) No 1272/2008 are **relevant for substances** with the above classification and for mixtures containing these substances. They are **not relevant for products** containing such a substance. Allowed limit according to the labelling obligations pursuant the EU chemicals legislation; CLP Regulation (EC) No. 1272/2008 Annex VI (Annex I of Directive 67/548/EEC)
- c) Specification of **VKIS-VSI-BGHM list**

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

Basic Information:

- All purchased products, chemicals and mixtures must meet the substance prohibition standard S132030-1!
- Therefore, all our suppliers must accept the substance prohibition standard S132030-1.
- Prohibited substances (P) are generally prohibited $\geq 0.1\%$ or above the applicable threshold.
- Prohibited substances (P) must always be declared $< 0.1 \%$ or below the applicable threshold (D)!

Exemption:

- In exemptional cases, prohibited substances may be released for specific purposes: e. g. methanol for heat treatment (carburisation process).
- Exemptions must always be documented in writing. No format is provided for this. For example an email or an entry in the Declaration of Conformity is sufficient.

Agenda

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Process instruction on Prohibited and Declarable Substances Standard S 132030-1

1. Where can the supplier find the standard S 132030-1?

- Under the following link on the Internet:
- <https://www.schaeffler.de/content.schaeffler.de/en/schaeffler-germany/purchasing-and-supplier-management/sustainability/index.jsp>

2. Contact persons at Schaeffler:

- Responsible Schaeffler Purchasing for: **Schaeffler supplier number and formal filling out of declaration of conformity according to S 132030-1.**
- Application engineering at Schaeffler for: **Application of the supplied product at Schaeffler - Selection of the category (Requestor)**
- Material Compliance at Schaeffler for: - **Technical questions regarding standard S 132030-1, MaterialCompliance@schaeffler.com**
 - **IMDS reporting (Appendix B), IMDS@schaeffler.com**

3. MSDS (Material Safety Data Sheet)

- MSDS must not be older than 2 years.

4. Does the supplier have to fill out the declaration of conformity according to S 132030-1 if he already makes an IMDS entry?

- **Yes**, because this confirms the entire S 132030-1 and, above all, also communicates the legal requirements that the product fulfills.

5. Who receives the declaration of conformity according to S 132030-1 at Schaeffler?

- **Responsible Schaeffler Purchasing.**

Process instruction on Prohibited and Declarable Substances Standard S 132030-1

6. Products for new developments:

- In order to avoid undesirable developments and to prevent the corresponding costs from arising in the first place, it must be ensured right from the start of a new development that the product meets the requirements of S 132030-1!

7. Who, when and in which form must the supplier inform when the declaration for his product changes (e. g. new legal requirements - thresholds)?

After 45 days at the latest, the supplier must inform

- The **responsible Purchasing at Schaeffler** and
- Department **Schaeffler Material Compliance**, MaterialCompliance@schaeffler.com
- In the form of a **new declaration of conformity for the product** according to S 132030-1 (excel and pdf format), Annex A and/or IMDS declaration.

8. Which Version of the Standard must be applied?

Always apply the current version of S 132030-1, which is available on the Internet, currently version AI.

<https://www.schaeffler.de/content.schaeffler.de/en/schaeffler-germany/purchasing-and-supplier-management/sustainability/index.jsp>

We pioneer motion